



AF/3621

PATENT  
450100-03122

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Shin IIMA et al.  
Serial No. : 09/824,254  
For : TRANSMISSION APPARATUS AND METHOD, RECEPTION APPARATUS AND METHOD, MANAGEMENT APPARATUS AND METHOD, CHARGING APPARATUS AND METHOD, PROVIDING APPARATUS AND METHOD, AND RECORDING MEDIUM  
Filed : April 2, 2001  
Examiner : ELISCA, Pierre E.  
Art Unit : 3621

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MAIL STOP AF  
COMMISSIONER FOR PATENTS  
Alexandria, VA 22313-1450  
Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below.  
☐ This is an application of a small entity under 37 CFR 1.9(f), and the amounts shown in parentheses apply.

Claims as Amended

(1)	(2) Claims remaining after amendment	(3)	(4) Highest number previously paid for	(5) Present extra	(6) Rate	(7) Additional fee
Total claims	36	Minus	37 =	0 x	\$18(9)	= \$0
Independent claims	24	Minus	24 =	0 x	\$86(43)	= \$0
				Total additional fee for this amendment		= \$0

- \* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.  
\*\* If the highest number of total claims previously paid for is less than 20, write "20" in this space.  
\*\*\* If the highest number of independent claims previously paid for is less than 3, write "3" in this space.

- ☐ This application contains a multiple dependent claim. The required fee of \$290 (\$145) has been previously paid ☐, or is paid herewith ☐.
- ☐ This response is being filed within the \_\_\_\_\_ month following the expiration of the term originally set therefor. This is a petition to request a -month extension of time. A check covering the cost of the petition is enclosed.
- ☐ A USPTO Form 2038 - Credit Card Payment Form in the amount of \$ \_\_\_\_\_ .00 is attached, which covers the cost of ☐ additional claims and ☐ -month petition for extension of time.
- ☐ Charge \$ \_ to Deposit Account No. 50-0320.
- ☒ Please charge any additional fees incurred by reason of this response or credit any overpayment to Deposit Account No. 50-0320.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Samuel S. Lee, Reg. No. 42,791  
(Name of Applicant, Assignee or Registered Representative)  
  
Signature  
May 21, 2004  
Date of Signature

FROMMER LAWRENCE & HAUG, LLP  
Attorneys for Applicant(s)

Samuel S. Lee, Reg. No. 42,791 for  
By: William S. Frommer  
Reg. No 25,506  
Tel. (212) 588-0800

PATENT  
Appl. No. 09/824,254  
Attorney Docket No. 450100-03122

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

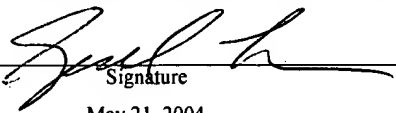
Applicants : IIMA, Shin *et al.*  
Appl. No. : 09/824,254  
Filed : April 2, 2001  
Title : Transmission Apparatus and Method, Reception Apparatus and Method,  
Management Apparatus and Method, Charging Apparatus and Method,  
Providing Apparatus and Method, and Recording Medium  
Art Unit : 3621  
Examiner : ELISCA, Pierre E.

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New York, NY 10151

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(Name of Applicant, Assignee or Registered Representative)

  
Signature  
May 21, 2004  
Date of Signature

**AMENDMENT UNDER RULE 116**

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action of April 28, 2004, please amend the above-identified  
application as follows:

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2.

**Remarks/Arguments** begin on page 16.